

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Heikki Heikkila, et al.

Examiner:

Unassigned

Serial No:

09/967,184

Art Unit:

Unassigned

Filed:

September 28, 2001

Docket:

14766

For:

METHOD FOR

Dated:

December 28, 2001

RECOVERING PRODUCTS

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.56, 1.97 AND 1.98

Sir:

Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R. §1.56, submit herewith those references which they deem relevant to the claims of the present application.

The relevant references are as follows:

- 1. U.S. Patent No. 5,998,607, dated December 7, 1999, issued to Heikkila et al.
- Blaschek, Wolfgang, Journal of Chromatography, 256 (1983) 157-163 2. "Complete separation and quantification of neutral sugars from plant cell walls and mucilages by high-performance liquid chromatography"

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on December 28, 2001.

Dated: December 28, 2001

- 3. JP-A-9127090, Chemical Abstract 127:47442, dated November 2, 1995
- 4. U.S. Patent No. 2,684,331, dated August 20, 1954, issued to Bauman
- 5. U.S. Patent No. 2,911,362, dated November 3, 1959, issued to Wheaton
- 6. U.S. Patent No. 4,075,406, dated February 21, 1978, issued to Melaja et al.
- 7. Finnish Patent Application No. 962,609 (corresponding to U.S.S.N. 08/880,382)
- 8. Samuelson, Olof, <u>J. Methods Carbohy. Chem.</u>, <u>6</u> (1972) 65-75 "Partition Chromatography on Ion-Exchange Resins"
- 9. Takasaki, Yoshiyuki, Agr. Biol. Chem. 36 (1972) pp. 2575-77 "On the Separation of Sugars"
- 10. Lindberg, Bengt et al., <u>Carbohyd. Res.</u> 5 (1967) pp. 286-291 "Preparative Separations Of Sugars On Bisulphite Resins"
- 11. U.S. Patent No. 4,358,322, dated November 9, 1982, issued to Neuzil et al.
- 12. U.S. Patent No. 5,084,104, dated January 28, 1992, issued to Heikkila et al.
- 13. WO 99/57326
- 14. U.S. Patent No. 4,880,919, dated November 14, 1989, issued to Kulprathipanja
- 15. U.S. Patent No. 4,816,078, dated March 28, 1989, issued to Schiweck et al.
- 16. U.S. Patent No. 4,664,718, dated May 12, 1987, issued to Chang
- 17. DE 3545107 [corresponding to U.S. Patent No. 4,772,334 (to Masayoshi et al.)]
- 18. Barker, S.A. et al., <u>Carbohydrate Research</u>, 26 (1973) 55-64 "The Use of Poly(4-vinylbenzeneboronic Acid) Resins in the Fractionation and Interconversion of Carbohydrates"

19. CA 1249812 [corresponding to U.S. Patent No. 4,631,129 (to Heikkila)]

20. Finnish Patent Application No. 97625 [corresponding to WO 96/27028]

It is noted that in the case of JP-A-9127090, an English abstract is being submitted. It is noted that the remaining patent publications (or English counterparts thereto) and journal references are in English.

Applicants respectfully request that the above- mentioned references be made of record in the file of the present application. To that end, applicants submit herewith the aforementioned references and the completed Form PTO-1449, setting forth these references.

Applicants submit that the filing of this Information Disclosure Statement is within three months for the filing date of the subject application. Therefore, no Declaration or fee is required to effect the filing of this Information Disclosure Statement.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56.

Respectfully submitted,

Edward W. Grolz

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EWG:yp/dg

TRANSMITTAL OI	Docket No. 14766				
In Re Application Of: Heikki Heikkila, et al.					
Serial No.	Filing Date	Examiner	Group Art Unit		
09/967,184 September 28, 2001		Unassigned	Unassigned		
Title: METHOD FOR RECOVERING PRODUCTS					
Address to: Assistant Commissioner for Patents Washington, D.C. 20231					
37 CFR 1.97(b)					
1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
☐ the statement specified in 37 CFR 1.97(e);					
OR					
☐ the fee set forth in 37 CFR 1.17(p).					

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TRANSMITTALO	OSURE STATEMENT	Docket No. 14766	
JAN 2 3 2002 33	nder 37 CFR 1.97(b) or 1.9	.(e))	
In the Application I	leikki Heikkila, et al.		
Serial No.	Filing Date	Examiner	Group Art Unit
09/967,184	September 28, 2001	Unassigned	Unassigned
METHOD FOR R	ECOVERING PRODUCTS		
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		ects to pay the fee set forth in 37 CFR 1.1	7(p))
as described belo Charge the Credit and Credit and Charge and Certificate of Tourist this document account is being facsing Patent and Frademark (Date)	mmissioner is hereby authorized w. A duplicate copy of this sheet the amount of the amount of the yoverpayment. The additional fee required. Transmission by Facsimile* The and authorization to charge deposite the united States of the Unit	Certificate of Mailing by	First Class Mail ee is being deposited e U.S Postal Service C.F.R. 1.8 and is nissioner for Patents, ing Correspondence
Edward W. Grolz Registration No. 33,705 SCULLY, SCOTT, MURE 400 Garden City Plaza Garden City, New York 11		Dated: December 28, 2001	
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